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09/744,420	03/06/2001	Kevin David Sanderson	1-15240	5624

7590  
Marshall & Melhorn  
Four SeaGate 8th Floor  
Toledo, OH 43604

08/16/2007

EXAMINER

STOUFFER, KELLY M

ART UNIT	PAPER NUMBER
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1762

MAIL DATE	DELIVERY MODE
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08/16/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

09/744,420

Applicant(s)

SANDERSON, KEVIN DAVID

Examiner

Kelly Stouffer

Art Unit

1762

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 June 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-4,6-22 and 34-44 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4,6-22, and 34-44 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

1. In view of the Appeal Brief filed on 5 June 2007, PROSECUTION IS HEREBY REOPENED. New grounds of rejection are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

(1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,

(2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing at the end of this office action.

2. The declaration under 37 CFR 1.132 filed 15 August 2005 is insufficient to overcome the rejections of the claims based upon 35 USC 103(a) as set forth in the last Office action because: the declaration presents an opinion contrary to evidence shown by the examiner in the following action.

***Response to Arguments***

3. Applicant's arguments filed 5 June 2007 have been fully considered but they are not persuasive. The applicant argues that Tracy cannot be used in combination with any of the other CVD references because it teaches plasma CVD process. Applicant argues that a chemical vapor deposition process is different than a plasma assisted chemical vapor deposition process. This argument is not found convincing. "Chemical vapor deposition" is a broad term that encompasses any process where a gaseous phase reaction occurs and deposits a layer. This includes many types of processes, including plasma assisted chemical vapor deposition. Specifically, plasma assisted chemical vapor deposition process is a type of chemical vapor deposition. Therefore, the claims still do not exclude plasma.

Applicant argues that Tracy teaches plasma and sub-atmospheric conditions in a closed system and this is irrelevant to the CVD process of the present invention. This argument is not found convincing. The claims do not have a limitation regarding pressure nor do they exclude plasma, as discussed above. Additionally, it is noted that the examiner only relies on Tracy as teaching suitable precursors for use in the processes taught by Proscia and Riaz. Riaz explicitly teaches that the tungsten oxide is deposited during the on-line float glass production process by a CVD process. Tracey teaches known precursors for depositing tungsten oxide by CVD. Although Tracey teaches a plasma in order to make up for the low reactivity of the precursors in the reduced atmosphere, one of ordinary skill in the art would still understand that the precursors are a viable option for depositing tungsten oxide in a CVD process.

Although Tracy teaches plasma CVD process at sub-atmospheric pressure, the process uses tungsten hexafluoride or tungsten chloride as the precursor. Because tungsten hexafluoride may be used in the process of Tracy or Proscia, one of ordinary skill in the art would recognize that the choice of precursor, at least pertaining to metal halides, is not critical of pressure and/or the use of plasma. Furthermore, Florczak has been included to show further evidence that one of skill would recognize the suitability of using tungsten chloride in place of tungsten hexafluoride. Florczak teaches metal chlorides being decomposed and deposited at atmospheric pressure without plasma. Since tungsten is a metal, this provides further evidence of the art-recognized suitability for using tungsten chloride in the process taught by Proscia.

It is noted some of the following rejections rely on Tracy as a secondary reference used to show obviousness for using a tungsten chloride as a precursor in a process taught by a primary reference. The applicant fails to argue how these modifications are non-obviousness. The applicant only argues the differences of Tracy in view of Florczak with the present invention. These arguments are unconvincing as they fail to address the issues of the rejection. The primary references teach a CVD process for deposited tungsten oxides. Tracy teaches the art recognized suitability for using tungsten chloride in the primary reference.

Applicant argues the incompatibility of plasma enhanced chemical vapor deposition with chemical vapor deposition. This argument is not found convincing. One of skill in the art would be charged with the knowledge of a design engineer. This would include the knowledge that plasma assisted chemical vapor deposition is a type of

chemical vapor depositions and that like precursors may be used in either, as the chemistry of decomposing metal halides and reaction with an oxide is similar for both processes. Although process parameters may differ (temperature, pressure, deposition rate, etc.), one of ordinary skill in the art would be able to determine these parameters through routine experimentation. Plasma is used to assist the chemical vapor deposition process, not radically alter it. Thus, Tracy obviously teaches the art recognized suitability of using tungsten chlorides. Further, "a person of ordinary skill has good reason to pursue the known options within his or her technical grasp. If this leads to the anticipated success, it is likely the product not of innovation but of ordinary skill and common sense." (*KSR International Co v. Teleflex Inc.*, 550 US--, 82 USPQ2d 1385(2007)). Therefore, one of ordinary skill, when presented with the precursors for making tungsten oxide using plasma for an energy source, would find it within their known options to use the same analogous precursors when making tungsten oxide using heat as an energy source.

The applicant argues the Florczak is irrelevant because it deals with titanium oxide, not tungsten. However, "a person of ordinary skill has good reason to pursue the known options within his or her technical grasp. If this leads to the anticipated success, it is likely the product not of innovation but of ordinary skill and common sense." (*KSR International Co v. Teleflex Inc.*, 550 US--, 82 USPQ2d 1385(2007)). Therefore, one of ordinary skill, when presented with the precursors for making titanium oxide, would find it within their known options to use the same analogous precursors when making tungsten oxide. With respect to the rejection of Florczak in view of Proscia, or vice

versa, applicant argues that Florczak teaches "other metallic halides" for depositing metal oxides, but provides no suggestion of using tungsten. This argument is not found convincing. Proscia teaches depositing tungsten oxides. In combining the two references, one of ordinary skill in the art would recognize that the metal in Florczak would be tungsten in order to deposit a tungsten oxide film. Additionally, the applicant has not argued the Proscia in view of Florczak rejection that is incorporated by the "vice versa" and further discussed in the rejection.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

4. Claims 1-4, 6-8, 10-16, 18, 34, 38-42, and 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Riaz et al. (US 5,385,751) in view of Tracy et al. (US 4,687,560) and Florczak (US 6,268,019 B1).

As to claims 1, 6-8, 10-12, 14-15, 40-42 and 44, Riaz teaches a method of coating a glass substrate (column 3, line 23) with a fluorine-doped tungsten oxide layer using a CVD process. The process may occur during the well-known float glass process and at 500°C (column 3, line 20). Riaz teaches that trifluoroacetic acid, as the fluorine source for doping, may be simultaneously added to a gas stream that comprises tungsten alkoxides and an oxygen source (column 2, line 54). One of ordinary skill in the art would recognize that by including this fluorine ester precursor in the vapor deposition process, the ester would inevitably contribute oxygen to the resulting oxide. Riaz also teaches a method of entraining the tungsten precursors where nitrogen is used as the carrier gas (column 3, lines 58-68).

The reference does not explicitly teach the use of the applicant's tungsten precursor. However, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to use the precursors of Tracy in order to produce the tungsten oxide layer of Riaz. By doing so, one would have a reasonable expectation of success, as Tracy teaches the art recognized suitability of using tungsten chloride or tungsten oxytetrachloride in place of tungsten hexafluoride in a CVD process for depositing tungsten oxides and Florczak teaches process parameters for depositing the equivalent precursors under atmospheric conditions.



As to claim 3, Tracy teaches that either tungsten chloride or tungsten oxytetrachloride may be used as the precursor in place of tungsten hexafluoride for depositing a tungsten oxide film by CVD (column 5, lines 30-40). The pressure of the process taught by Tracy is significantly lower than the atmospheric pressure CVD method taught by Proscia. Therefore, in order to further prove that one would have a reasonable expectation of success, the examiner points to the teachings of Florczak. Florczak teaches an atmospheric pressure CVD method for depositing metal oxide coatings to float glass by decomposing metal chlorides (abstract). From the combined teachings of Tracy and Florczak, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to utilize either tungsten oxytetrachloride or tungsten chloride as the precursor for the process taught by Riaz with an expectation of attaining similar results.

As to claim 4, the reference fails to explicitly teach that the tungsten chloride is substituted. However, Tracy does teach that the precursor is either tungsten chloride or tungsten oxyhalide (column 5, lines 15-20). It is the examiner's position that one of ordinary skill in the art would recognize that by the reference teaching that the ligand of the tungsten precursor being capable of being either chloride or an oxyhalide, that it is implied that the oxyhalide substituent and the chloride substituent behave the same way in the reaction-deposition process. Therefore, it would have been obvious that if a tungsten precursor with a chloride ligand may be used, and a tungsten precursor with an oxyhalide ligand may be used, then one of ordinary skill in the art would have a reasonable expectation to believe that a tungsten precursor containing chlorides and

oxyhalides as its ligands would succeed in performing the process as taught. To use the substituted precursor would have been obvious at the time the invention was made to a person having ordinary skill in the art with the expectation of achieving similar results, as discussed above.

As to claims 13, 16, 34, 38, and 39, Florczak teaches the claimed substrate temperature (column 6, lines 45-50) and precursor temperature (column 4, lines 35-40). It is well known in the art to use a temperature just below the melting point of the material, since the material is heated but still remains a solid.

As to claim 18, Riaz fails to teach the growth rate of the deposited film. However, to achieve maximum rate without sacrificing film quality would have been obvious and within the skill of one practicing in the art, absent evidence of criticality.

5. Claims 9, 17, 19-22, 35-37 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Riaz et al. (US 5,385,751) in view of Tracy et al. (US 4,687,560) and Florczak (US 6,268,019 B1) as applied above, and in further view of Gallego et al. (US 6,048,621).

As to claims 9 and 17, Riaz et al. in view of Tracy et al. and Florczak disclose all the limitations of claims 9 and 17 as discussed above except for depositing a non-stoichiometric tungsten oxide. Gallego et al. teaches the recognized suitability of depositing tungsten oxide on glass in a non-stoichiometric manner in a thickness of 50-500 nm in order to receive a glass that possesses heat absorbing layers that absorb at wavelengths above 700 nm (abstract and column 2 lines 24-45). It would have been

Art Unit: 1762

obvious to one of ordinary skill in the art at the time of the invention to modify Riaz et al. in view of Tracy et al. and Florczak to include a non-stoichiometric tungsten oxide layer with a thickness of 50-500 nm as taught by Gallego et al. in order to receive a glass that possesses heat absorbing layers that absorb at wavelengths above 700 nm.

As to claims 19-22, Riaz et al. in view of Tracy et al. and Florczak disclose all the limitations of claims 19-22 as discussed above with the exception of the claimed overlayers. Gallego et al. teaches depositing a layer over the tungsten oxide that is made up of the claimed oxides in order to provide a low emissivity layer and make the layers coated on the glass suitable for use as a solar control glass in a building (column 2 lines 45-65). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Riaz et al. in view of Tracy et al. and Florczak to include an overlayer with the claimed metal oxides as taught by Gallego et al. in order to provide a low emissivity layer and make the layers coated on the glass suitable for use as a solar control glass in a building.

As to claims 35-37, Riaz et al. in view of Tracy et al. and Florczak disclose all the limitations of claims 35-37 as discussed above with the exception of an underlayer composed of the claimed materials. Gallego et al. teaches a layer under the tungsten oxide on the glass made of the claimed materials to suppress iridescence in the glass (column 3 lines 40-45). It would have been obvious to one of ordinary skill in the art to modify Riaz et al. in view of Tracy et al. and Florczak to include an underlayer made of the claimed materials as taught by Gallego et al. in order to suppress iridescence in the glass.

As to claim 43, Gallego teaches the multiple-glazing unit with the coated glass in spaced opposed relation to the glazing plane (column 4, lines 38-45).

6. Claims 1, 2, 6-8, 10-16, 17-18, 34, and 38-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Florczak (US 6,268,019 B1) in view of Proscia et al. (US 5,324,537), or vice versa, in view of Riaz (US 5,385,751).

Florczak teaches a method of coating a glass substrate with a fluorine-doped metal oxide layer (column 6, lines 55-65). The process may occur during the well-known float glass process (column 4, lines 50-29). Florczak teaches trifluoroacetic acid as the fluorine source for doping (column 4, lines 47-51). Oxygen sources are taught (column 4, lines 30-39). Substrate temperatures are taught (column 6, lines 45-50). The reference fails to explicitly teach depositing tungsten oxides.

Proscia teaches a method of forming fluorine doped tungsten oxide films that are applied to glass substrates during the float glass production process (column 2, lines 30-35). By doing so, a suitable solar control glass is formed (column 1, lines 25-31). A temperature range for the substrate is taught (column 3, lines 1-4). The precursor for the fluorine doping is taught (column 3, lines 33-42). The reference is silent to the precursors of the tungsten oxide film.

It would have been obvious at the time the invention was made to a person having ordinary skill in the art to deposit tungsten oxide by the method taught by Florczak, i.e. using tungsten as the metal in the metal chloride taught. By doing so, one would reap the benefits of achieving suitable solar control, as taught by Proscia.

It also would have been obvious to use the metal chloride precursors taught by Florczak in the process taught by Proscia. By doing so, one would have a reasonable expectation of success, as Proscia teaches a process, but is silent to the precursors, and Florczak teaches precursors to a similar process.

The combined references are silent to using an ester as the oxygen source. However, Riaz teaches the art recognized suitability for using an ester as the oxygen source in such a process. Therefore, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to use an ester in the process taught above. By doing so, one would have a reasonable expectation of success, as Riaz teaches the art recognized suitability of doing such. Riaz et al. teaches the remainder of the claim limitations as discussed above.

7. Claims 9, 17, 19-22, 35-37 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Florczak (US 6,268,019 B1) in view of Proscia et al. (US 5,324,537), or vice versa, in view of Riaz (US 5,385,751) as applied above and in further view of Gallego (US 6,048,621).

As to claims 9 and 17, Florczak in view of Proscia et al., or vice versa, in view of Riaz disclose all the limitations of claims 9 and 17 as discussed above except for depositing a non-stoichiometric tungsten oxide. Gallego et al. teaches the recognized suitability of depositing tungsten oxide on glass in a non-stoichiometric manner in a thickness of 50-500 nm in order to receive a glass that possesses heat absorbing layers that absorb at wavelengths above 700 nm (abstract and column 2 lines 24-45). It would

have been obvious to one of ordinary skill in the art at the time of the invention to modify Florczak in view of Proscia et al., or vice versa, in view of Riaz to include a non-stoichiometric tungsten oxide layer with a thickness of 50-500 nm as taught by Gallego et al. in order to receive a glass that possesses heat absorbing layers that absorb at wavelengths above 700 nm.

As to claims 19-22, Florczak in view of Proscia et al., or vice versa, in view of Riaz disclose all the limitations of claims 19-22 as discussed above with the exception of the claimed overlayers. Gallego et al. teaches depositing a layer over the tungsten oxide that is made up of the claimed oxides in order to provide a low emissivity layer and make the layers coated on the glass suitable for use as a solar control glass in a building (column 2 lines 45-65). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Florczak in view of Proscia et al., or vice versa, in view of Riaz to include an overlayer with the claimed metal oxides as taught by Gallego et al. in order to provide a low emissivity layer and make the layers coated on the glass suitable for use as a solar control glass in a building.

As to claims 35-37, Florczak in view of Proscia et al., or vice versa, in view of Riaz disclose all the limitations of claims 35-37 as discussed above with the exception of an underlayer composed of the claimed materials. Gallego et al. teaches a layer under the tungsten oxide on the glass made of the claimed materials to suppress iridescence in the glass (column 3 lines 40-45). It would have been obvious to one of ordinary skill in the art to modify Florczak in view of Proscia et al., or vice versa, in view

Art Unit: 1762

of Riaz to include an underlayer made of the claimed materials as taught by Gallego et al. in order to suppress iridescence in the glass.

As to claim 43, Gallego teaches the multiple-glazing unit with the coated glass in spaced opposed relation to the glazing plane (column 4, lines 38-45).

8. Claims 1-4, 6-8, 10-16, 18, 34, 38- 42, and 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Proscia (US 5,286,520) in view of Tracy et al. (US 4,687,560) and Florczak (US 6,268,019 B1), in further view of Riaz (US 5,385,751).

Proscia teaches a method of coating a glass substrate (column 4, lines 25-30) with a fluorine-doped tungsten oxide layer for producing solar control glass. The process may occur during the well-known float glass process (column 3, lines 45-50). Proscia teaches that trifluoroacetic acid, as the fluoride source for doping, may be simultaneously added to a gas stream comprising oxygen and tungsten hexafluoride (column 3, line 33). Proscia also teaches a method of entraining the tungsten precursors where nitrogen is used as the carrier gas (column 4, lines 13-22).

The reference fails to explicitly teach the use of a tungsten chloride or an oxyhalide as the tungsten precursor. However, Tracy teaches that either tungsten chloride or tungsten oxytetrachloride may be used as the precursor in place of tungsten hexafluoride for depositing a tungsten oxide film by CVD (column 5, lines 30-40). The pressure of the process taught by Tracy is significantly lower than the atmospheric pressure CVD method taught by Proscia. Therefore, in order to further prove that one would have a reasonable expectation of success, the examiner points to the teachings

of Florczak. Florczak teaches an atmospheric pressure CVD method for depositing metal oxide coatings to float glass by decomposing metal chlorides (abstract). From the combined teachings of Tracy and Florczak, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to utilize either tungsten oxytetrachloride or tungsten chloride as the precursor for the process taught by Proscia. By doing so, one would have a reasonable expectation of success, as Tracy teaches the art recognized suitability of using tungsten chloride or tungsten oxytetrachloride in place of tungsten hexafluoride in a CVD process for depositing tungsten oxides and Florczak teaches process parameters for depositing the equivalent precursors under atmospheric conditions. Florczak teaches the claimed substrate temperature (column 6, lines 45-50) and precursor temperature (column 4, lines 35-40).

As to claim 4, the reference fails to explicitly teach that the tungsten chloride is substituted. However, Tracy does teach that the precursor is either tungsten chloride or tungsten oxyhalide (column 5, lines 15-20). It is the examiner's position that one of ordinary skill in the art would recognize that by the reference teaching that the ligand of the tungsten precursor being capable of being either chloride or an oxyhalide, that it is implied that the oxyhalide substituent and the chloride substituent behave the same way in the reaction-deposition process. Therefore, it would have been obvious that if a tungsten precursor with a chloride ligand may be used, and a tungsten precursor with an oxyhalide ligand may be used, then one of ordinary skill in the art would have a reasonable expectation to believe that a tungsten precursor containing chlorides and oxyhalides as its ligands would succeed in performing the process as taught. To use



the substituted precursor would have been obvious at the time the invention was made to a person having ordinary skill in the art with the expectation of achieving similar results, as discussed above.

As to claim 18, Proscia fails to explicitly teach the growth rate of the deposited film. However, to achieve maximum rate without sacrificing film quality would have been obvious and within the skill of one practicing in the art, absence evidence of criticality.

The combined references are silent to using an ester as the oxygen source. However, Riaz teaches the art recognized suitability for using an ester as the oxygen source in such a process. Therefore, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to use an ester in the process taught above. By doing so, one would have a reasonable expectation of success, as Riaz teaches the art recognized suitability of doing such.

9. Claims 9, 17, 19-22, 35-37 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Proscia (US 5,286,520) in view of Tracy et al. (US 4,687,560), Florczak (US 6,268,019 B1), and Riaz (US 5,385,751) as applied above and in further view of Gallego (US 6,048,621).

As to claims 9 and 17, Proscia in view of Tracy et al., Florczak and Riaz disclose all the limitations of claims 9 and 17 as discussed above except for depositing a non-stoichiometric tungsten oxide. Gallego et al. teaches the recognized suitability of depositing tungsten oxide on glass in a non-stoichiometric manner in a thickness of 50-

500 nm in order to receive a glass that possesses heat absorbing layers that absorb at wavelengths above 700 nm (abstract and column 2 lines 24-45). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Proscia in view of Tracy et al., Florczak and Riaz to include a non-stoichiometric tungsten oxide layer with a thickness of 50-500 nm as taught by Gallego et al. in order to receive a glass that possesses heat absorbing layers that absorb at wavelengths above 700 nm.

As to claims 19-22, Proscia in view of Tracy et al., Florczak and Riaz disclose all the limitations of claims 19-22 as discussed above with the exception of the claimed overlayers. Gallego et al. teaches depositing a layer over the tungsten oxide that is made up of the claimed oxides in order to provide a low emissivity layer and make the layers coated on the glass suitable for use as a solar control glass in a building (column 2 lines 45-65). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Proscia in view of Tracy et al., Florczak and Riaz to include an overlayer with the claimed metal oxides as taught by Gallego et al. in order to provide a low emissivity layer and make the layers coated on the glass suitable for use as a solar control glass in a building.

As to claims 35-37, Proscia in view of Tracy et al., Florczak and Riaz disclose all the limitations of claims 35-37 as discussed above with the exception of an underlayer composed of the claimed materials. Gallego et al. teaches a layer under the tungsten oxide on the glass made of the claimed materials to suppress iridescence in the glass (column 3 lines 40-45). It would have been obvious to one of ordinary skill in the art to modify Proscia in view of Tracy et al., Florczak and Riaz to include an underlayer made

of the claimed materials as taught by Gallego et al. in order to suppress iridescence in the glass.

As to claim 43, Gallego teaches the multiple-glazing unit with the coated glass in spaced opposed relation to the glazing plane (column 4, lines 38-45).

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Budd (US 3,463,658) shows similar precursors for making an analogous metal oxide with a spray process.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kelly Stouffer whose telephone number is (571) 272-2668. The examiner can normally be reached on Monday - Thursday 7:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Meeks can be reached on (571) 272-1423. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kelly Stouffer  
Examiner  
Art Unit 1762

kms



**TIMOTHY MEEKS**  
**SUPERVISORY PATENT EXAMINER**